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Sub	Substitute for form 1449/PTO			Complete if Known		
				Application Number	10/828,469-Conf. #5223	
11	<b>IFORMATIC</b>	ON DIS	CLOSURE	Filing Date	April 21, 2004	
S	STATEMENT BY APPLICANT			First Named Inventor	Michael D. Laufer	
				Art Unit	3773	
	(Use as many	sheets as	necessary)	Examiner Name	J. W. Woo	
Sheet	1	of	22	Attorney Docket Number	100873-273	

			U.S. PA	TENT DOCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevan Figures Appear
	AA*	US-4,144,890	03-20-1979	Hess	
	AB*	US-4,635,637	01-13-1987	Schreiber	
	AC*	US-5,464,426	11-07-1995	Bonutti	
	AD*	US-4,724,840	02-16-1988	McVay et al.	
	AE*	US-5,395,030	03-07-1995	Kuramoto et al.	
	AF*	US-5,855,311	01-05-1999	Hamblin et al.	
	AG*	US-5,887,594	03-30-1999	LoCicero, III	
	AH*	US-6,663,639	12-16-2003	Laufer et al.	
	AI*	US-6,835,200	12-28-2004	Laufer et al.	
	AJ*	US-6,558,400	05-06-2003	Deem et al.	
	AK*	US-20040082963-A1	04-29-2004	Gannoe et al.	
	AL*	US-6,454,778	09-24-2002	Kortenbach	
	AM*	US-6,540,789	04-01-2003	Silverman et al.	
	AN*	US-20040059350-A1	03-25-2004	Gordon et al.	
	AO*	US-4,841,888	06-27-1989	Mills et al.	
	AP*	US-20040006351-A1	01-08-2004	Gannoe et al.	
	AQ*	US-5,080,663	01-14-1992	Mills et al.	
	AR*	US-5.810.855		Rayburn et al.	
	AS*	US-20030195387-A1		Kortenbach et al	

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Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	Г
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Pessages Or Relevant Figures Appear	۲
	BB**	EP-0593920	04-27-1994	United States Surgical Corp		
	BC**	EP-0480428	04-15-1992	Wilson Cook Medical Inc		Г
	BD**	EP-0576265	12-29-1993	Ethicon Inc		Г
	BE	EP-9668058	08-23-1995	Novoste Corp		Г
	BF	EP-0743044	11-20-1996	Bard Inc C R		Г

Examiner Signature /Julian Woo/	Date Considered	07/29/2009
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\*EXAMINE: Initial if reference consistent, whether or not dation is in conformace with MIPD 900. Due the through dation if not in conformace and not consistend. Include copy of this form with most communication to applicant, "CITE IN. On. These application," which are invalid with a ringle saterities to the Cite No. are not supplied (under 37 CFR 1.08(q2)(qi)) because that application was filled after June 30, 2003 or is available in the IFW. "Applicants under continuous "See Kindle Code of USIPTO Please to Concerned at wavesigning or MIPP 9010." All "Enter Offices with a ringle saterities and the continuous seeds of the Comment of the Comment

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Sheet	2	of	22	Attorney Docket Number	100873-273		

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Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	AT*	US-5,433,721	07-18-1995	Hooven et al.			
	AU*	US-6,352,503	03-05-2002	Matsui et al.			
	AV*	US-6,569,085-A1	05-27-2003	Kortenbach et al.			
	AW*	US-6,743,240-A1	06-01-2004	Smith et al.			
	AX*	US-4,809,695	03-07-1989	Gwathmey et al.			
	AY*	US-5,662,683	09-02-1997	Kay			
	AZ*	US-4,741,336	05-03-1988	Failla et al.			
	AA1*	US-20030191478-A1	10-09-2003	Kortenbach et al.			
	AB1*	US-20020138086-A1	09-26-2002	Sixto et al.			
	AC1*	US-20020198541-A1	12-26-2002	Smith et al.			
	AD1*	US-5,037,021	08-06-1991	Mills et al.			
	AE1*	US-5,571,116	11-05-1996	Bolanos et al.			
	AF1*	US-5,897,562	04-27-1999	Bolanos et al.			
	AG1*	US-6,113,609	09-05-2000	Adams			
	AH1*	US-5,571,090	11-05-1996	Sherts	-		
	Al1*	US-6,221,084	04-24-2001	Fleenor			
	AJ1*	US-20030167062	09-04-2003	Gambale et al.			
	AK1*	US-6,179,840	01-30-2001	Bowman			
	AL1*	US-6,945,979	09-20-2005	Kortenbach et al.			

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	BG	EP-0975263	02-02-2000	Bard Inc C R et al.		Г
	BH**	FR-2768324	03-19-1999	Seguin Jacques		r
	BI	GB-2075829	11-25-1981	Watson & Ewen Ltd		1
	BJ	JP-61122852	06-10-1986	Univ Karetsuji Rondon		Г
	BK**	WO-8911827	12-14-1989	Nierman David M		
	BL	WO-9900059	01-07-1999	Univ Columbia et al.		

Signature	/Julian Woo/	Considered	07/29/2009
*EXAMINER: considered.	Initial if reference considered, whether or not cit notude copy of this form with next communication	ation is in conformance with MPEP 609. Draw line through to applicant. * CITE NO.: Those application(s) which a	ugh citation if not in conformance and no

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Complete if Known Substitute for form 1449/PTO Application Number 10/828,469-Conf. #5223 INFORMATION DISCLOSURE Filing Date April 21, 2004 STATEMENT BY APPLICANT First Named Inventor Michael D. Laufer Art Unit 3773 (Use as many sheets as necessary) Examiner Name J. W. Woo Sheet 3 of 22 Attorney Docket Number 100873-273

			U.S. PA	TENT DOCUMENTS		
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	
Initials*	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
	AM1*	US-6,843,794	01-18-2005	Sixto, Jr. et al.		
	AN1*	US-6,824,548	11-30-2004	Smith et al.		
	AO1*	US-6,716,226	04-06-2004	Sixto, Jr. et al.		
	AP1*	US-20020078967	06-27-2002	Sixto et al.		
	AQ1*	US-20020068945	06-06-2002	Sixto et al.		
	AR1*	US-4,649,938	03-17-1987	McArthur		
	AS1*	US-5,324,325	06-28-1994	Moaddeb		
	AT1*	US-20010049537	12-06-2001	Kortenbach		
	AU1*	US-6,086,603	07-11-2000	Termin et al.		
	AV1*	US-3,551,987	01-05-1971			
	AW1*	US-4,500,024	02-19-1985	DiGiovanni et al.		
	AX1*	US-5,558,665	09-24-1996	Kieturakis		
	AY1*	US-5,826,776	10-27-1998	Schulze et al.		
	AZ1*	US-5,901,895	05-11-1999	Heaton et al.		
	AA2*	US-7,066,944	06-27-2006	Laufer et al.		
	AB2*	US-5,088,979	02-18-1992	Filipi et al.		
	AC2*	US-5,465,894	11-14-1995	Clark et al.		
	AD2*	US-5,787,897	08-04-1998	Kieturakis		
	AE2*	US-6,086,600	07-11-2000	Kortenbach		

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Initials*	No.1	Country Code <sup>2</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (F known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Pesseges Or Relevant Figures Appear	1
	BM**	WO-9922649	05-14-1999	Symbiosis Corp et al.		Γ
	BN**	WO-9960931	12-02-1999	Boston Scient Ltd		Г
	BO**	WO-0035529	06-22-2000	Syntheon Lic		Г
	BP**	WO-0078227	12-28-2000	Ndo Surgical Inc et al.		Г
	BQ**	WO-0078229	12-28-2000	Ndo Medical Inc et al.		Г
	BR	WO-01085034	11-15-2001	Scimed Life Systems Inc et al.		r

Examiner		Date	07/00/0000
	/Julian Woo/		07/29/2009
Signature	/0011dil 1100/	Considered	01/20/2000

"EXAMINE: hield inference considered, whether or not clated in in conformance with MEPE 900. Date the through clatifier in fail in conformance and not considered. Include copy of the form which need communication to applicant." CEIL No. These application layer which are marked with an engine attention, and to the CIR No. The end supplied (under 37 CEIL 1869(2)(5)(6)) because that application was fleet after June 30, 2005 or is available in the IFV. "Applicants unless clinical insignation number (political)." Sees Ninde Codes of USFFO Pleants Countered at governing one VMPE\* 9010-4." Either Office which are insignation number (political)." Either Office with a supplication of USFFO Pleants Countered at governing one VMPE\* 9010-4." Either Office which are insignation of USFFO Pleants Countered at governing one VMPE\* 9010-4." Either Office which are insignation of USFFO Pleants Countered at governing one VMPE\* 9010-4." Either Office which are insignation of USFFO Pleants of USFFO

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			U.S. PA	TENT DOCUMENTS	
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Initials*	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevan Figures Appear
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	AG2*	US-1,343,289	06-15-1920	Suchy	
	AH2*	US-1,548,250	08-04-1925	Bobner	
	Al2*	US-2,104,885	01-11-1938	robbins	
	AJ2*	US-2,199,025	04-30-1940	Conn	
	AK2*	US-3,399,432	09-03-1968	Merser	
	AL2*	US-3,470,875	10-07-1969	Johnson	
	AM2*	US-3,636,594	01-25-1972	Faivre et al.	
	AN2*	US-3,638,653	02-01-1972	Berry	
	AO2*	US-3,734,375	05-22-1973	Bone et al.	
	AP2*	US-3,749,085	07-31-1973	Willson et al.	
	AQ2*	US-3,842,840	10-22-1974	Schweizer	
	AR2*	US-3,875,648	04-08-1975	Bone	
	AS2*	US-3,900,925	08-26-1975	La Torraca	
	AT2*	US-3,901,244	08-26-1975	Schweizer	
	AU2*	US-3,933,291	01-20-1976	Stephenson	
	AV2*	US-3.946.740	03-30-1976	Bassett	
	AW2*	US-4,006,747	02-08-1977	Kronenthal et al.	
	AX2*	US-4.164.225		Johnson et al	

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Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines.	Γ
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages Or Relevant Figures Appear	ŀ
	BS**	WO-0224080	03-28-2002	Scimed Life Systems Inc et al.		r
	BT	WO-0228289	04-11-2002	Scimed Life Systems Inc		r
	BU**	WO-0240081	05-23-2002	Syntheon Lic et al.		r
	BV**	WO-0245603	06-13-2002	Syntheon Llc		r
	BW	WO-0276541	10-03-2002	Curon Medical Inc		r
	BX**	WO-0294341	11-28-2002	Syntheon Llc		۲

Examiner I	thilles Weel	Date	
Signature	/Julian Woo/	Considered	07/20/2000

"EXAMINE. Initial inference consistent, whether or not datalon is in conformance with MEED 500. Even the through datalon from its conformance and not considered. Include copy of this form where consumerations to applicant. "CTD IN. These applications" which we make their who is margin asserted to the Class No. are not supprised (under 37 CFR 1.59(6)(2)(6)) because that application was filled after Jame 30, 2003 or is available in the FFW. "Applicants unique actions of separation number (protons)." See Normal Conformation (MEED Content of a wew.push.org. org MEEP 5014). "Elser Offices that protons are applicated to the content of the separation of the fill of the content of the separation of the fill of the content of the separation of the fill of the content of the separation of the separation

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Attorney Docket Number

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	AZ2*	US-4,448,194	05-15-1984	DiGiovanni et al.	
	AA3*	US-4,471,781	09-18-1984	Di Giovanni et al.	
	AB3*	US-4,506,670	03-26-1985	Crossley	
	AC3*	US-4,573,469	03-04-1986	Golden et al.	
	AD3*	US-4,585,153	04-29-1986	Failla et al.	
	AE3*	US-4,591,085	05-27-1986	Di Giovanni	
	AF3*	US-4,605,004	08-12-1986	Di Giovanni et al.	
	AG3*	US-4,606,345	08-19-1986	Dorband et al.	
	AH3*	US-4,627,437	12-09-1986	Bedi et al.	
	Al3*	US-4,653,496	03-31-1987	Bundy et al.	
	AJ3*	US-4,696,300	09-29-1987	Anderson	
	AK3*	US-4,705,040	11-10-1987	Mueller et al.	
	AL3*	US-4,736,746	04-12-1988	Anderson	
	AM3*	US-4,753,469	06-28-1988	Hiscott et al.	
	AN3*	US-4,862,359	08-29-1989	Trivedi et al.	
	AO3*	US-4,887,612	12-19-1989	Esser et al.	
	AP3*	US-4,890,615	01-02-1990	Caspari et al.	
	AQ3*	US-4.935.027	06-19-1990	Yoon	

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	BY**	WO-03000115	01-03-2003	Syntheon Llc		г
	BZ**	WO-03004087	01-16-2003	Syntheon Llc		Г
	BA1**	WO-03007796	01-30-2003	Ndo Surgical Inc et al.		г
	BB1**	WO-03015604	02-27-2003	Syntheon Llc et al.		Г
	BC1	WO-03030782	04-17-2003	Scimed Life Systems Inc et al.		Г
	BD1	WO-03035649	05-01-2003	Pfizer Pharma et al.		Г

Signature	/Julian Woo/		Considered	07/29/2009	
*EXAMINER: Ini	itial if reference considered, whether or not citation	is in conformance with MPEP 609.	Draw line through o	citation if not in conformance and r	0

considered, Include copy of this form with next communication to applicant. "OTE NO: Those application(s) which are marked with an single self-risk (\*\*) incurs to the Clin No. are not supplied (under 5 OFF. 1986)(2/5)(9) incurses that application was filled affair and 30, 2003 or it is waiting to it in FIFM. "FOR 1986 is unique children designation number (potitional)." See Krinde Coders of USPTO Patient Documents at year upgato.gov or NPEP 901.04. "Enter Office The Island His document, by the order to complex or the region of the Emperature of the Accument, by the order code (NPEP) 001.04. "Enter Office The year of the order to comment or the order of the year of the region of the Emperature of the occument, but the order of the year of the occument under WIPO Standard ST. 16 if possible." Applicant in a lipide as child when there if Emplish implicing Translation is attached.

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	AR3*	US-5,015,249	05-14-1991	Nakao et al.	
	AS3*	US-5,037,433	08-06-1991	Wilk et al.	
	AT3*	US-5,147,373	09-15-1992	Ferzli	
	AU3*	US-5,222,963	06-29-1993	Brinkerhoff et al.	
	AV3*	US-5,230,344	07-27-1993	Ozdamar et al.	
	AW3*	US-5,254,126	10-19-1993	Filipi et al.	
	AX3*	US-5,309,923	05-10-1994	Leuchter et al.	
	AY3*	US-5,331,969	07-26-1994	Silberstein	
	AZ3*	US-5,336,263	08-09-1994	Ersek et al.	
	AA4*	US-5,346,504	09-13-1994	Ortiz et al.	
	AB4*	US-5,354,311	10-11-1994	Kambin et al.	
	AC4*	US-5,356,416	10-18-1994	Chu et al.	
	AD4*	US-5,358,508	10-25-1994	Cobb et al.	
	AE4*	US-5,364,408	11-15-1994	Gordon	
	AF4*	US-5.383,260	01-24-1995	Deschenes et al.	
	AG4*	US-5,441,507		Wilk	
	AH4*	US-5,447,512	09-05-1995	Wilson et al.	
	Al4*	US-5,451,406		Lawin et al.	
	AJ4*	US-5,486,189	01-23-1996		<del>-</del>

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Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevent Passages Or Relevent Figures Appear	٦٠
	BE1	WO-03037256	05-08-2003	Young Charles W		F
	BF1	WO-03053253	07-03-2003	Rex Medical Lp		-
	BG1	WO-03072196	09-04-2003	Carbon Medical Technologies		Г
	BH1**	WO-030804	10-09-2003	Scimed Life Systems Inc et al.		Н
	BI1**	WO-03090633	11-06-2003	Scimed Life Systems Inc		
	BJ1**	WO-03092498	11-13-2003	Medigus Ltd et al.		
Examine Signature		Julian Woo/		Date Considered	07/29/2009	_

EXAMINER: Initial ir intervence correlated, whether or not dation is a conformance with MIPE 900. Date in through classics if not in conformance and consistentic finited copy of this form with new communication by applicat, "CEIR IXO, Chimos applicationary which are mixed with an arrigin asteroit of not to the Clin No. or not supplied (under 37 CFR 1.986/2/XIII) because that application was float after June 30, 2000 or is available in the TVV. "Application control (posteroid)" See Kima Color of USPTO Platero Councils and zeros agreement agr

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INF	ORMATI	ON DIS	CLOSURE	Filing Date	April 21, 2004	
STA	TEMEN	T BY AI	PPLICANT	First Named Inventor	Michael D. Laufer	
				Art Unit	3773	
	(Use as man	y sheets as n	ecessary)	Examiner Name	J. W. Woo	
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Under the Research Deduction Act of 1005 -----

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	AL4*	US-5,522,820	06-04-1996	Caspari et al.		
	AM4*	US-5,549,618	08-27-1996	Fleenor et al.		
	AN4*	US-5,573,496	11-12-1996	McPherson et al.		
	AO4*	US-5,624,453	04-29-1997	Ahmed		
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	AQ4*	US-5,645,552	07-08-1997	Sherts		
	AR4*	US-5,665,096	09-09-1997	Yoon		
	AS4*	US-5,671,507	09-30-1997	Deschenes et al.		
	AT4*	US-5,674,230	10-07-1997	Tovey et al.		
	AU4*	US-5,676,674	10-14-1997	Bolanos et al.		
	AV4*	US-5,697,940	12-16-1997	Chu et al.		
	AW4*	US-5,699,808	12-23-1997	John		
	AX4*	US-5,722,421	03-03-1998	Francese et al.		
	AY4*	US-5,725,524	03-10-1998	Mulier et al.		
	AZ4*	US-5,728,109	03-17-1998	Schulze et al.		
	AA5°	US-5,735,861	04-07-1998	Peifer et al.		
	AB5*	US-5,741,280	04-21-1998	Fleenor		
	AC5*	US-5,749,898	05-12-1998	Schulze et al.		

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Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	Г
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (Finown)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages Or Relevent Figures Appear	7
	BK1**	WO-03092509	11-13-2003	Scimed Life Systems Inc	****	Г
	BL1**	WO-03094800	11-20-2003	Scimed Life Systems Inc		Г
	BM1**	WO-03098885	11-27-2003	Nippon Electric Co et al.		Г
	BN1**	WO-03099137	12-04-2003	Ndo Surgical Inc et al.		Г
	BO1**	WO-03099139		ld Lic		r
	BP1**	WO-03099140	12-04-2003	Esophyx Inc et al.		r

Examiner Signature	/Julian Woo/	Date Considered	07/29/2009

\*EXAMINE: Initial Inference consistent, whether or not classon is no conformance with MEPE 900. Dure the through classon free in conformance and no consistent classon (accept of the form which need communication to perplacett, "CEIR 100. Those applications where the major when he major when the national sensitive to the Cite No. are not supplied (under 37 CER 1.98(s))) because that application was fleet after June 30, 2003 or is available in the FIV. "Applicants where the conformation number (potential)." See Manca Cook of USFTO Potential concentrate at previouslegge of MEPE 9010. "Enter Office e-that require classified in the potential conformation of the potential conformation of the potential conformation of the potential contents of the potential contents." After of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if

U.S. Patent and Trademark Office; U.S. DePARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid QMB control number. Complete if Known Substitute for form 1449/PTO Application Number 10/828,469-Conf. #5223 INFORMATION DISCLOSURE Filing Date April 21, 2004 STATEMENT BY APPLICANT First Named Inventor Michael D. Laufer Art Unit 3773 (Use as many sheets as necessary) Examiner Name J. W. Woo Sheet 8 of 22 Attorney Docket Number 100873-273

	U.S. PATENT DOCUMENTS								
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant				
Initials*	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear				
	AD5*	US-5,788,138	08-04-1998	Deschenes et al.					
	AE5*	US-5,792,153	08-11-1998	Swain et al.					
	AF5*	US-5,792,478	08-11-1998	Lawin et al.					
	AG5*	US-5,794,948	08-18-1998	Schmitt et al.					
-	AH5*	US-5,797,927	08-25-1998	Yoon					
	AI5*	US-5,810,882	09-22-1998	Bolduc et al.					
	AJ5*	US-5,814,054	09-29-1998	Kortenbach et al.					
	AK5*	US-5,846,254	12-08-1998	Schulze et al.					
	AL5*	US-5,853,409	12-29-1998	Swanson et al.					
	AM5*	US-5,893,592	04-13-1999	Schulze et al.					
	AN5*	US-5,899,915	05-04-1999	Saadat					
	AO5*	US-5,958,444	09-28-1999	Wallace et al.					
	AP5*	US-5,984,932	11-16-1999	Yoon					
	AQ5*	US-5,993,466	11-30-1999	Yoon					
	AR5*	US-6,009,877	01-04-2000	Edwards					
	AS5*	US-6,051,003	04-18-2000	Chu et al.					
	AT5*	US-6,059,798	05-09-2000	Tolkoff					
	AU5*	US-6,067,990	05-30-2000	Kieturakis					
	AV5*	US-6,083,202	07-04-2000	Smith					

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Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	Γ			
nitials*	No.1		Applicant of Cited Document	Where Relevant Passages Or Relevent Figures Appear					
	BQ1**	WO-03099376	12-04-2003	Transneuronix Inc et al.		r			
	BR1**	WO-03105917	12-24-2003	Scimed Life Systems Inc		ì			
	BS1	WO-04004542	01-15-2004	Satiety Inc et al.		r			
	BT1	WO-04004544	01-15-2004	Foundry Inc		ľ			
	BU1	WO-04006990	01-22-2004	Scimed Life Systems Inc		t			
	BV1	WO-04019787		Scimed Life Systems Inc		t			

Examiner	Date	
Signature /Julian Woo/	Considered	07/29/2009
Signature	Considered	0172072000

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Attorney Docket Number

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Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where					
Initials*	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevan Figures Appear					
	AW5*	US-6,098,629	08-08-2000	Johnson et al.						
	AX5*	US-6,129,761	10-10-2000	Hubbell						
	AY5*	US-6,152,935	11-28-2000	Kammerer et al.						
	AZ5*	US-6,238,335	05-29-2001	Silverman et al.						
	AA6*	US-6,254,642	07-03-2001	Taylor						
	AB6*	US-6,258,064	07-10-2001	Smith et al.						
	AC6*	US-6,267,285	07-31-2001	Raymond et al.						
	AD6*	US-6,325,503	12-04-2001	McCue, Jr. et al.						
	AE6*	US-6,387,104	05-14-2002	Pugsley, Jr. et al.						
	AF6*	US-6,478,210		Adams et al.						
	AG6*	US-6,551,315	04-22-2003	Kortenbach et al.						
	AH6*	US-6,544,291	04-08-2003	Taylor						
	Al6*	US-6,544,503	04-08-2003	Vanderhoff et al.						
	AJ6*	US-6,547,776	04-15-2003	Gaiser et al.						
	AK6*	US-6,548,501	04-15-2003	Hakkinen						
	AL6*	US-6,548,518	04-15-2003	Rubin et al.						
	AM6*	US-6,551,328	04-22-2003	Kortenbach						
	AN6*	US-6,552,045	04-22-2003	Rubin et al.						
	AO6*	US-6.552.046	04-22-2003	Druzgala et al.						

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Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if Inown)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Peges, Columns, Lines, Where Relevent Passages Or Relevent Figures Appear	T
	BW1	WO-04019788	03-11-2004	Scimed Life Systems Inc		T
	BX1	WO-04021872	03-18-2004	Bard Inc C R		N
	BY1	WO-04021873	03-18-2004	Bard Inc C R		1
	BZ1	WO-04021894	03-18-2004	Kelleher Brian		1
	BA2	WO-04026348	04-01-2004	Sixto Robert Jr et al.		T
	BB2	WO-04026349	04-01-2004	Smith Kevin W et al.		7
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Under the Paperwork Reduction Act of 1995, no persons are required to re Complete if Known Substitute for form 1449/PTO Application Number 10/828.469-Conf. #5223 INFORMATION DISCLOSURE Filing Date April 21, 2004 STATEMENT BY APPLICANT First Named Inventor Michael D. Laufer Art Unit 3773 (Use as many sheets as necessary) J. W. Woo Examiner Name Sheet 10 of 22 Attomey Docket Number 100873-273

			U.S. PA	TENT DOCUMENTS		
Examiner Cite		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	
Initials*	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
	AP6*	US-6,552,047	04-22-2003	Garvey et al.		
	AQ6*	US-6,558,429	05-06-2003	Taylor		
	AR6*	US-6,559,165	05-06-2003	Rubin et al.		
	AS6*	US-6,562,034	05-13-2003	Edwards et al.		
	AT6*	US-6,562,795	05-13-2003	Ashley et al.		
	AU6*	US-6,569,105	05-27-2003	Kortenbach et al.		
	AV6*	US-6,579,301	06-17-2003	Bales et al.		
	AW6*	US-6,589,238	07-08-2003	Edwards et al.		
	AX6*	US-6,591,137	07-08-2003	Fischell et al.		
	AY6*	US-6,591,838	07-15-2003	Durgin		
	AZ6*	US-6,592,596	07-15-2003	Geitz		
	AA7*	US-6,592,609	07-15-2003	Bonutti		
	AB7*	US-6,595,909	07-22-2003	Silverman et al.		
	AC7*	US-6,595,910	07-22-2003	Silverman et al.		
	AD7*	US-6,604,004	08-05-2003	Zelickson et al.		
	AE7*	US-6,604,528	08-12-2003	Duncan		
	AF7*	US-6,605,078	08-12-2003	Adams		
	AG7*	US-6,613,047	09-02-2003	Edwards		
	AH7*	US-6,632,227	10-14-2003	Adams		

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Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Peges, Columns, Lines,	Г
	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (Fknown)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevent Passages Or Relevent Figures Appear	
	BC1**	EP-0646356	04-05-1995	Ethicon Inc		Т
	BC2	WO-04026350	04-01-2004	Kortenbach Juergen A et al.		Ī
	BD2**	WO-05086885	09-22-2005	Laufer Michael D et al.		Г
	BE2	WO-9803151	01-29-1998	Einhorn Apotheke et al.		Г
	BF2	JP-1151461	06-14-1989	Koransha Kk		Г
	BG2	WO-02094341	11-28-2002	Syntheon Lic		Г
	BH2**	WO-04000129	12-31-2003	Scimed Life Systems Inc et al.		Г

Examiner /Ju	lian Woo/	Date Considered	07/29/2009

"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not EX-ONITION: That is reserved considered, wherevolve or not classon is a nontromace with MMEP 900. Draw line shrough classon fin of in conformance and not to the class of the

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Substi	Substitute for form 1449/PTO			Complete if Known		
				Application Number	10/828,469-Conf. #5223	
IN	ORMATI	ON DIS	CLOSURE	Filing Date	April 21, 2004	
ST	STATEMENT BY APPLICANT			First Named Inventor	Michael D. Laufer	
				Art Unit	3773	
	(Use as man	y sheets as ne	cessary)	Examiner Name	J. W. Woo	
Sheet	11	of	22	Attorney Docket Number	100873-273	

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Examiner Cite		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant				
Initials*	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear				
	AI7*	US-6,645,201	11-11-2003	Utley et al.					
	AJ7*	US-6,660,301	12-09-2003	Vogel et al.					
	AK7*	US-6,666,848	12-23-2003	Stone					
	AL7*	US-6,669,713	12-30-2003	Adams					
	AM7*	US-6,673,058	01-06-2004	Snow					
_	AN7*	US-6,673,070	01-06-2004	Edwards et al.					
	A07*	US-6,692,507	02-17-2004	Pugsley et al.					
	AP7*	US-6,695,764	02-24-2004	Silverman et al.					
	AQ7*	US-6,699,243	03-02-2004	West et al.					
	AR7*	US-6,712,074	03-30-2004	Edwards et al.					
	AS7*	US-6,712,814	03-30-2004	Edwards et al.					
	AT7*	US-6,725,866	04-27-2004	Johnson et al.					
	AU7*	US-6,790,214	09-14-2004	Kraemer et al.					
	AV7*	US-6,790,237	09-14-2004	Stinson					
	AW7*	US-6,808,491	10-26-2004	Kortenbach et al.					
	AX7*	US-6,916,332	07-12-2005	Adams					
	AY7*	US-6,926,722	08-09-2005	Geitz					
	AZ7*	US-6,971,395	12-06-2005	Edwards et al.					
	AA8*	US-6.986.737	01-17-2006	Suzuki et al.	1				

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Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code*-Number*-Kind Code* (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevent Figures Appear	70
	BI2	WO-02076541	10-03-2002	Curon Medical Inc		
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Examine Signature		/Julian Woo/		Date Considered	07/29/2009	

\*EXAMINE: Intel I reference consistent, whether or not station is it conformance with MEPD 500. Draw See through challon if not in conformance and not considered. Include copy of the form with more communication to applicate, "CETE NO. Those applications when the man state when to the Oils No. are not supplied (under 37 CETR 1.96(4)(2)(6)) because that application was flood after June 30, 2003 or is available in the FVI. "Applicants under control of the CETE NO. "Exercise the CETE NO. "Exercise and See Text No. "Exercise the CETE NO. "Exercise that was application or suffer produced." See No. "Exercise of USFTO Potent Connected at waveautipacing or MEPT PO 160." Extra Office that was applicated to the CETE NO. "Exercise the C

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				Application Number	10/828,469-Conf. #5223	
INFO	DRMATI	ON DISC	LOSURE	Filing Date	April 21, 2004	
STA	STATEMENT BY APPLICANT			First Named Inventor	Michael D. Laufer	
				Art Unit	3773	
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Sheet	12	of	22	Attorney Docket Number	100873-273	

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Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
Initials*	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear
	AB8*	US-7,033,370	04-25-2006	Gordon et al.	
	AC8*	US-7,087,073	08-08-2006	Bonutti	
	AD8*	US-20010056282	12-27-2001	Sonnenschein et al.	
	AE8*	US-20020010418	01-24-2002	Lary et al.	
	AF8*	US-20020063143	05-30-2002	Adams et al.	
	AG8*	US-20020082621	06-27-2002	Schurr et al.	
	AH8*	US-20020173786	11-21-2002	Kortenbach et al.	
	AI8*	US-20020193816	12-19-2002	Laufer et al.	
	AJ8*	US-20020198537	12-26-2002	Smith et al.	
	AK8*	US-20020198538	12-26-2002	Kortenbach et al.	
	AL8*	US-20020198539	12-26-2002	Sixto et al.	
	AM8*	US-20020198540	12-26-2002	Smith et al.	
	AN8*	US-20020198549	12-26-2002	Sixto et al.	
	A08*	US-20030019905	01-30-2003	Adams et al.	
	AP8*	US-20030036679	02-20-2003	Kortenbach et al.	
	AQ8*	US-20030065340	04-03-2003	Geitz	
	AR8*	US-20030065359	04-03-2003	Weller et al.	
	AS8*	US-20030068326	04-10-2003	Gevas et al.	
	AT8*	US-20030069280		Koch et al.	

		FOREI	ON PATENT D	OCUMENTS		
Examiner Initials*	Cite	Foreign Patent Document	Publication	Name of Patentee or	Peges, Columns, Lines,	Г
	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (# known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Pesseges Or Relevant Figures Appear	
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Signature		/Julian Woo/		Considered	07/29/2009	

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			U.S. PA	TENT DOCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
Initials*	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear
	AU8*	US-20030069646	04-10-2003	Stinson	
	AV8*	US-20030083241	05-01-2003	Young	
	AW8*	US-20030086968	05-08-2003	Gray	-
	AX8*	US-20030092699	05-15-2003	Uchida et al.	
	AY8*	US-20030130560	07-10-2003	Suzuki et al.	
	AZ8*	US-20030130561	07-10-2003	Suzuki et al.	
	AA9*		07-17-2003	Edwards et al.	
	AB9*	US-20030161887	08-28-2003	Klein	
	AC9*	US-20030163029	08-28-2003	Sonnenschein et al.	
	AD9*	US-20030171645	09-11-2003	Silverman et al.	
	AE9*	US-20030181929	09-25-2003	Geitz	
	AF9*	US-20030188755	10-09-2003	Milbocker	
	AG9*	US-20030192558	10-16-2003	Durgin	
	AH9*	US-20030192559	10-16-2003	Durgin	
	Al9*	US-20030195509	10-16-2003	Edwards et al.	
	AJ9*	US-20030196670	10-23-2003	Durgin	
	AK9*	US-20030199731	10-23-2003	Silverman et al.	
	AL9*	US-20030208209	11-06-2003	Gambale et al.	
	AM9*	US-20030208211	11-06-2003	Kortenbach	

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Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>2</sup> -Number <sup>4</sup> -Kind Code <sup>6</sup> (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Peges, Columns, Lines, Where Relevent Pesseges Or Relevant Figures Appear	7
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Examiner Julian Woo/ Date 07/20/2000	
Signature Julian Woo/ Considered 07/29/2009	

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Sub	Substitute for form 1449/PTO			Complete if Known		
				Application Number	10/828,469-Conf. #5223	
- 11	<b>IFORMATIO</b>	N DI	SCLOSURE	Filing Date	April 21, 2004	
S	STATEMENT BY APPLICANT			First Named Inventor	Michael D. Laufer	
				Art Unit	3773	
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Sheet	14	of	22	Attorney Docket Number	100873-273	

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Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	AN9*	US-20030216754	11-20-2003	Kraemer et al.	
	AO9*	US-20030220657	11-27-2003	Adams	
	AP9*	US-20030220660	11-27-2003	Kortenbach et al.	_
	AQ9*	US-20030236535	12-25-2003	Onuki et al.	
	AR9*	US-20030236536	12-25-2003	Grigoryants et al.	
	AS9*	US-20040006336	01-08-2004	Swanson	
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	AFA*	US-6,846,309-A1	01-25-2005	Whitman et al.	

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STA	STATEMENT BY APPLICANT			First Named Inventor	Michael D. Laufer	
				Art Unit	3773	
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Sheet	15	of	22	Attorney Docket Number	100873-273	

			U.S. PA	TENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AGA*	US-6,843,403	01-18-2005	Whitman	
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	ALA*	US-663639			
	AMA*	US-6,494,888	12-17-2002	Laufer et al.	
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	AQA*	US-7,153,314	12-26-2006	Laufer et al.	
	ARA*	US-4,880,015	11-14-1989	Nierman	
	ASA*	US-5,485,952	01-23-1996	Fontayne	
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s	TATEMENT I	3Y /	APPLICANT	First Named Inventor	Michael D. Laufer	
				Art Unit	3773	
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Sheet	16	of	22	Attorney Docket Number	100873-273	

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Initials	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
	CA	European Search Report dated September 2, 2004 in EP 04076389	
	CB**	International Search Report dated October 16, 2000	Г
	CC**	International Search Report dated October 22, 2003	
	CD**	Boerema MD 'Hiatus Hernia: Repair by right-sided, subhepatic, anterior gastropexy' Surgery, 65:884-893 (1969)	
	CE**	Carvalho PJPC et al 'Fibrosis of gastric cardia after endoscopic sclerosis. Mechanism for control of experimental reflux? Am Surg Mar. 1990; 56(3):163-6	
	CF**	DeMeester, MD et al 'Nissen Fundoplication for Gastroesophageal Reflux Disease' Annals of Surgery 204:9-20 (1986)	
	CG**	Hill et al 'Surgery for Peptic Esophageal Stricture' 139-147.	
	CH**	Hill et al 'The Esophagus, Medical and Surgical Management' WB Saunders Co. 135-8 (1988)	
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				Application Number	10/828,469-Conf. #5223	
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ST	STATEMENT BY APPLICANT			First Named Inventor	Michael D. Laufer	
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Sheet	17	of	22	Attorney Docket Number	100873-273	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CK**	Kraemer, MD et al 'Laparascopic Hill repair' Gastrointestinal Endoscopy,Vol. 40 No. 2 155-159 (1994)	Γ
	CL**	Mason et al 'Nissen Fundoplication Prevents Shortening of the Sphincter During Gastric Distention' ARCH SURG., 132:719-726 (1997)	
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	CO**	Slim K et al., Intraoperative esophageal manometry and fundoplications: prospective study, World J Surg Jan. 1996;20(1):55-9	Г
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	CT**	Kadirkamanathan SS et al., An ambulant procine model of acid reflux used to evaluate endoscopic gastroplasty. Gut Jun. 1999;44(6):782-8	

Examiner Signature /Julian Woo/	Date Considered	07/29/2009
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is atteched.

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Sheet	Sheet 18 of 22		Attorney Docket Number	100873-273		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	т
	CU**	Mason RJ et al., A new intraluminal antigastroesphageal reflux procedure in baboons.  Gastrointest Endosc 1997 Mar.;45(3):283-90	
	CV**	Hill LD et al., The gastroesophageal flap valve: in vitro and vivo observations. Gastrointest Endosc 1996 Nov.;44(5):541-7	Г
	CW**	Cuschierl, et al., "Multicenter prospective evaluation of laparoscopic antireflux surgery," Surgical Endoscopy, 7:505-510 (1993)	Г
	CX**	Hill LD, Intraoperative measurement of lower esophageal sphincter pressure. J. Thorac Cardiovasc Surg 1978 Mar.;75(3):378-82	
	CY**	Ismail T. et al., Yield pressure, anatomy of the cardia and gastro-oesophageal reflux. Br. J Surg 1995 Jul.;82(7):943-7.	Г
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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English tanguage Translation is attached.

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				Art Unit	3773	
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Sheet	19	of	22	Attorney Docket Number	100873-273	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serials, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CF1**	Collis, M.D., "Surgical Control of Reflux in Hiatus Hernia," <u>The American Journal of Surgery</u> , 115:465-471 (1968).	Г
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	CJ1**	Hill LD, Myths of the esophagus, J. Thorac Cardiovasc. Surg. 1989 Jul. 9S(1):1-10.	П
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	CM1**	Ismail, et al., "Yield Pressure: A New Concept in the Evaluation of Gerd?," AJG. 91:616-617 (1996).	Г
	CN1**	Jamieson, et al., "Laparoscopic Nissen Fundoplication," <u>Annals of Surgery</u> , 220:137-145 (1994).	
	CO1	Eurpoean Search Report mailed July 10, 2007 in EP Application No. 07075291	

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	CO1**	Jamieson, et al., "The development of surgery for gastro-oesophageal reflux disease."  Surgery of the Oesophagus, 233-245 (1988).	Г
	CP1**	Janssen, et al., "Prospective randomized comparison of teres cardiopexy and Nissen fundoplication in the surgical therapy of gastro-oesophageal reflux disease." <u>Br. J. Surg.</u> , 80:875-878 (1993).	Г
	CQ1**	Jennings, et al., "A Novel Endoscopic Transgastric Fundoplication Procedure for Gastroesophageal Reflux: An Initial Animal Evaluation," <u>Journal of Laparoendoscopic</u> Surgery, 2:207-213 (1992)	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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				Art Unit	3773	
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	CY1**	Pedinielli, "Traitement Chirurgical de la Herinie Hiatale Par La Technique du Collet," <u>Ann.</u> <u>Chir.</u> , 18:1461-1474 (1964). (English Abstract)	Γ		
	CZ1**	Polk, et al., "Hiatol Hernia and Esophagitis: A survey of indications for operation and technic and results of fundoplication," Ann. Surg., 173:775-781 (1971).			
	CA2**	Rampal, et al., "Technique Chirurgicale, Traitement des hemies hiatales et du reflux apphagien par la cardio-pexie avec le ligament round de foie," <u>La Presse Medicale</u> , 75:617-619 (1967).			
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	CF2**	The Americal journal of gastroenterology, Vol. 91, No. 3, 1996, pg. 616-617.			
	CG2**	The ICRA et al. December 1 of the Co			
	CH2**	Tocornal, M.D., et al., "A mucosol flap valve mechanism to prevent gastroesophageal reflux and esophagitis, Surgery, 64:519-523 (1968).			

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to epplicant.

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Sheet	22	of	22	Attorney Docket Number	100873-273	

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CI2**	Wang, et al., "A new anti-flux procedure: cardiac oblique invagination," Chung Hua Wai Ko Tsa Chih, Feb. 33 (2) 73-5 (1995). (English Abstract).	
CJ2**	Watson, et al., "Comparison of anterior, posterior and total fundoplication using a viscera model," Diseases of the Esophagus, 10:110-114 (1997).	
CK2**	Westbrook, et al., "Posterior Surgical Approaches to the Rectum," <u>Annals of Surgery</u> , 195:677-691 (1982).	
CL2	Eurpoean Search Report mailed July 10, 2007 in EP Application No. 07075291	$\overline{}$
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